04-20-07

PTO/SB/21 (09-06)
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Application No.

### **TRANSMITTAL FORM**

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	Application Number	10/594,651-Conf. #2312
	Filing Date	September 27, 2006
	First Named Inventor	Mikhail B. Gorbounov
	Art Unit	3753
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	210_794

ENCLOSURES (Check all that apply)					
Fee Tran	nsmittal Form	Drawing(s)		After Allowance Communication to TC	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment/Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application		Proprietary Information	
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter	
Extension	n of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):	
Express /	Abandonment Request	Request for Refund		PTO/SB/08A/B (1 pg.), Certificate of Express Mailing (1 pg.) and	
x Information	on Disclosure Statement	CD, Number of CD(s)		Return Receipt Postcard	
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	Missing Parts/ te Application	Remarks			
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name MARJAMA & BILINS		SKI LLP			
Signature Will W		Hobert			
Printed name William W. Habelt		· <del></del>			
Date	April 19, 2007	Re	eg. No.	29,162	
<u>-</u> -					

Transmittal  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Serv Express Mail, Airbill No. EM047971581US, on the date shown below in an envelope addressed to:  MS Amendment, Commissioner for Patents, P.O. 1997, 1450, Alexandria, VA, 22313-1450	ice as
Dated: April 19, 2007 Signature: W. Holmes Signature: Christine M. Holmes	

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Docket No.: 210\_79401

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Mikhail B. Gorbounov et al.

Application No.: 10/594,651

Confirmation No.: 2312

Filed: September 27, 2006

Art Unit: 3753

For:

HEAT EXCHANGER WITH MULTIPLE

STAGE FLUID EXPANSION IN HEADER

Examiner: Not Yet Assigned

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Copies of the references on the PTO/SB/08 are not provided.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be

Application No.: 10/594,651 Docket No.: 210\_79401

an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to Deposit Account No. 03-0835, under Order No. 210\_794.

Dated: April 19, 2007

Respectfully submitted,

William W. Habelt

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APR 1 9 2007

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many she ets as necessary)

Sheet 1 of

	ormation unless it contains a valid OMB control number		
	Complete if Known		
Application Number	10/594,651-Conf. #2312		
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First Named Inventor	Mikhail B. Gorbounov		
Art Unit	3753		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	210_79401		

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AF/

			U.S. PA	TENT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.	Number-Kind Code <sup>2</sup> ( if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AA*	US-3,920,069	11-18-1975	Mosier		
	AB*	US-2,297,633	09-29-1942	L.A. Philipp		
	AC*	US-2,591,109	04-01-1952	J.O. Wade		
	AD*	US-4,497,363	02-05-1985	Heronemus		
	AE*	US-4,088,182	05-09-1978	Basdekas et al.		

		FOREIC	ON PATENT	OCUMENTS		_
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

\*EXAMINER: Initial if reference considered, whether or not citetion is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is evailable in tha IFW. Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the yeer of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols es indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is atteched.

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Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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Examiner Signature	/Allen Flanigan/	Date 12/13/2008	٦
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<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Ablication No. (if known): 10/594,651

Attorney Docket No.: 210\_794

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IDS (Citation) by Applicant (5 References) (1 page)